

Applicant: Leonard Forbes et al.

Title: CIRCUITS WITH A TRENCH CAPACITOR HAVING MICRO-ROUGHENED SEMICONDUCTOR SURFACES

Docket No.: 303.389US2

Filed: December 20, 1999

Examiner: Eugene Lee

Customer No.: 21186

Serial No.: 09/467992

Due Date: N/A

Group Art Unit: 2815

Confirmation No.: 3099

**COPY**

MS Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ A Preliminary Amendment (11 Pages).
- ☒ 5 sheets of Formal Drawings.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

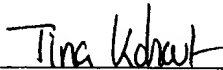
By: 

Atty: Viet V. Tong

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Non-Fee Amendment, Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 9 day of October, 2003.

Name



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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

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Receipt is hereby acknowledged for the following in the United States Patent and Trademark Office:

In re Patent Application of: Leonard Forbes et al.

Title: CIRCUITS WITH A TRENCH CAPACITOR HAVING MICRO-ROUGHENED SEMICONDUCTOR SURFACES

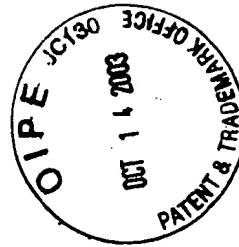
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CONTENTS: A Preliminary Amendment (11 Pages); 5 sheets of Formal Drawings; a Return Postcard and TRANSMITTAL SHEET.

Mailed: October 9, 2003  
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Docket No.: 303.389US2  
Due Date: N/A



S/N 09/467992

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Leonard Forbes et al.

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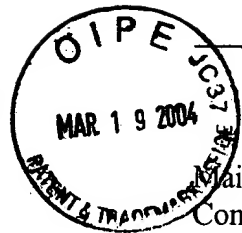
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SEMICONDUCTOR SURFACES

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**PRELIMINARY AMENDMENT**

Mail Stop AF  
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P.O.Box 1450  
Alexandria, VA 22313-1450

In addition to the amendment and response to the Final Office Action that Applicant filed on July 17, 2003, please amend the application as follows:



**IN THE DRAWINGS**

In FIG. 1, there were two arrows below reference number 102A. Applicant has removed one of the arrows for clarity. Applicant requests an approval from the Examiner for the change in FIG. 1.